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#### Application No. 10/617,911 TRANSMITTAL FORM July 10, 2003 Filing Date (to be used for all correspondence after initial filing) First Named Inventor Michael Yip Art Unit 2663 **Examiner Name** Soon D. Hyun Total Number of Pages in This Submission 11 2717P029C Attorney Docket Number

ENCLOSURES (check all that apply)						
Fee Transmittal f	Form	Drawing(s)		After Allowance Communication o Group		
Fee Attach	ned	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Re	esponse	Petition		Appeal Communication to Group Appeal Notice, Brief, Reply Brief)		
After Final Affidavits/o	declaration(s)	Petition to Convert a Provisional Application		Proprietary Information		
Extension of Tim	e Request	Power of Attorney, Revocation Change of Correspondence Address		Status Letter		
Express Abando	nment Request	Terminal Disclaimer		Other Enclosure(s) please identify below):		
	losure Statement	Request for Refund	- 1	Return Postcard		
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Basic	Filing Fee	Remarks				
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	SIGNATURI	E OF APPLICANT, ATTORNEY, OR AG	ENT -			
Firm or	Lisa Tom, Reg.	No. 52,291	- "			
Individual name	BLAKELY, S	OKOLOFF, TAYLOR & ZAFMA	AN LI	_P		
Signature	Lin In					
Date December 17, 2003						
CERTIFICATE OF MAILING/TRANSMISSION						
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Signature	Annie	(as	ate	December 17, 2003		

FEE TRANSMITTAL for FY 2003 Complete if Known Application Number 10/617,911 July 10, 2003 Michael Yip Filing Date Effective 01/01/2003. Patent fees are subject to annual revision. First Named Inventor Examiner Name Soon D. Hyun Applicant claims small entity status. See 37 CFR 1.27. 2663 2717P029C Group/Art Unit TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No.

METHOD OF PAYMENT (check all that apply)				FE	E CALCULATION	ON (continue	ed)	_
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The Commissioner is authorized to: ( check all that apply)  Charge fee(s) indicated below Credit any overpayments	1804	920*	1804		* Requesting publication			
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FEE CALCULATION	1252	420	2252	210	Extension for reply wit	hin second month		
1. BASIC FILING FEE	1253	950	2253	475	Extension for reply wit	hin third month		
Large Entity Small Entity	1254	1,480	2254	740	Extension for reply wit	hin fourth month		
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1001 770 2001 385 Utility filing fee	1404	330	2401	165	Notice of Appeal			
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1003 530 2003 265 Plant filing fee	1403	290	2403	145	Request for oral heari	-		
1004 770 2004 385 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a p	·	ing	
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SUBTOTAL (1) (\$)	1453	1,330	2453	665	Petition to revive - uni			
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Total Claims . 20° = X	1460	130	2460	130	Petitions to the Comm	nissioner		<b> </b>
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1202 18 2202 9 Claims in excess of 20			<b> </b>		(37 CFR § 1.129(a))	4		
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**or number previously paid, if greater, For Reissues, see below	*Reduced	by Basic Filin	g Fee Pai	d	:	SUBTOTAL (3)	(\$)	
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Name (Print/Type) Lisa Tom		tomey/Age		:	52,291	Telephone		
Signature 1 - 2						Date	12/17	7/03

Date

Signature

Docket No.: 2717P029C

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MICHAEL YIP, ET AL.

Application No.: 10/617,911

Filed: July 10, 2003

For: METHOD AND SYSTEM FOR VMAN

PROTOCOL LAYER-2 PACKET NESTED ENCAPSULATION

Art Group: 2663

Examiner: Soon D. Hyun

#### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 09/755,736, filed January 5, 2001) and copies of the references were furnished at that time: Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: December 17, 2003

Lisa Tom, Reg. No. 52,291

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Box RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Annie G. Pearson

Date

-2- 2717P029C

Complete if Known stitute for form 1449A/PTO Application Number 10/617,911 **INFORMATION DISCLOSURE** Filing Date July 10, 2003 First Named Inventor STATEMENT BY APPLICANT Michael Yip Art Unit 2663 (use as many sheets as necessary) Examiner Name Soon D. Hyun of 5 Attorney Docket Number Sheet 1 2717P029C

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1	US-5,027,350	06-25-1991	Marshall			
	2	US-5,088,032	02-11-1992	Bosack			
	3	US-5,095,480	03-10-1992	Fenner			
	4	US-5,115,431	05-19-1992	Williams, et al.			
	5	US-5,136,580	08-04-1992	Videlock, et al.			
	6	US-5,241,682	08-31-1993	Bryant, et al.			
	7	US-5,251,205	10-05-1993	Callon, et al.			
	8	US-5,274,631	12-28-1993	Bhardwaj			
	9	US-5,287,103	02-15-1994	Kasprzyk, et al.			
	10	US-5,304,992	04-19-1994	Harashima			
	11	US-5,311,509	05-10-1994	Heddes, et al.			
	12	US-5,313,582	05-17-1994	Hendel, et al.			
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	15	US-5,345,446	09-06-1994	Hiller, et al.			
	16	US-5,353,383	10-04-1994	Uchimura, et al.			
	17	US-5,361,256	11-01-1994	Doeringer, et al.			
	18	US-5,371,852	12-06-1994	Attanasio, et al.			
	19	US-5,394,394	02-28-1995	Crowther, et al.			
	20	US-5,394,402	02-28-1995	Ross			

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Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,		
Initials*	No.1	Country Code* - Number* - Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear		
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Examiner	Date		
Signature	Considered		

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Sheet** 2 **of** 5

Complete if Known					
Application Number	10/617,911				
Filing Date	July 10, 2003				
First Named Inventor	Michael Yip				
Art Unit	2663				
Examiner Name	Soon D. Hyun				
Attorney Docket Number	2717P029C				

			U.S. PATE	NT DOCUMENTS	
Examiner Initials*	Cite No.'	Document Number  Number - Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
-	21	US-5,408,469	04-18-1995	Opher, et al.	
	22	US-5,423,002	06-06-1995	Hart	
	23	US-5,426,637	01-20-1995	Derby, et al.	
	24	US-5,473,599	12-05-1995	Li, et al.	
	25	US-5,473,607	12-05-1995	Hausman, et al.	
	26	US-5,485,455	01-16-1996	Dobbins, et al.	
	27	US-5,500,860	03-19-1996	Perlman, et al.	
	28	US-5,519,704	05-21-1996	Farinacci, et al.	
	29	US-5,526,489	06-11-1996	Nilakantan, et al.	
	30	US-5,555,256	09-10-1996	Calamvokis	
***************************************	31	US-5,604,868	02-18-1997	Komine, et al.	
	32	US-5,617,421	04-01-1997	Chin, et al.	
	33	US-5,651,002	07-22-1997	Van Seters, et al.	
	34	US-5,666,363	09-09-1997	Osakabe, et al.	
	35	US-5,684,800	11-04-1997	Dobbins, et al.	
	36	US-5,740,171	04-14-1998	Mazzola, et al.	
	37	US-5,742,604	04-21-1998	Edsall, et al.	40000
	38	US-5,752,003	05-12-1998	Hart	
	39	US-5,764,636	06-09-1998	Edsall	
	40	US-5,872,783	02-16-1999	Chin	

FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,		
	No.	Country Code*- Number* - Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear		

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Examiner	Date	
Signature	Considered	
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'Applicant's unique citation designation number (optional). "See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. "Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). "For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. "Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. "Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Sheet** 3 **of** 5

1	Co	mplete if Known	
Ì	Application Number	10/617,911	
ľ	Filing Date	July 10, 2003	
I	First Named Inventor	Michael Yip	
I	Art Unit	2663	
4	Examiner Name	Soon D. Hyun	
I	Attorney Docket Number	2717P029C	

ľ	****		U.S. PATE	ENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	41	US-5,872,783	02-16-1999	Chin	
	42	US-5,892,912	04-06-1999	Suzuki, et al.	
	43	US-5,910,955	06-08-1999	Nishimura, et al.	
	44	US-5,946,308	08-31-1999	Dobbins, et al.	
	45	US-5,956,335	09-21-1999	Backers, et al.	
	46	US-5,959,968	09-28-1999	Chin, et al.	
	47	US-5,959,990	09-28-1999	Frantz, et al.	
	48	US-5,978,378	11-02-1999	Van Seters, et al.	
	50	US-6,023,563	02-08-2000	Shani	
	51	US-6,035,105	03-07-2000	McCloghrie, et al.	
	52	US-6,075,776	06-13-2000	Tanimoto, et al.	1-10-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-
	53	US-6,128,666	10-03-2000	Muller, et al.	
	54	US-6,157,647	12-05-2000	Husak	
	55	US-6,163,543	12-19-2000	Chin, et al.	
	56	US-6,181,699	01-30-2001	Crinion, et al.	
	57	US-6,209,649	04-03-2001	Pringle, et al.	
	58	US-6,219,699	04-17-2001	McCloghrie, et al.	
	59	US-6,226,771	05-01-2001	Hilla, et al.	
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code* - Number* - Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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Examiner	,	Date	
Signature		Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Sheet** 4 **of** 5

Complete if Known		
Application Number	10/617,911	
Filing Date	July 10, 2003	
First Named Inventor	Michael Yip	
Art Unit	2663	
Examiner Name	Soon D. Hyun	
Attorney Docket Number	2717P029C	

	NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	60	IEEE Std. 802.10-1998, " IEEE Standards for Local and Metropolitan Area Networks: Standard for Interoperable LAN/MAN Security (SILS)," New York, New York, 1998.	
	61	IEEE Std. 802.10a-1999, "IEEE Standards for Local and Metropolitan Area Networks: Supplement Standard for Interoperable LAN/MAN Security (SILS)," New York, New York, 1999.	:
•	62	IEEE Std. 802.1Q-1998, "IEEE Standards for Local and Metropolitan Area Networks: Virtual Bridged Local Area Networks," New York, New York, 1998.	:
	63	IEEE Std. 802.1D, "IEEE Standards for Information Technology- Telecommunications and Information Exchange Between Systems-Local and Metropolitan Area Networks- Common Specifications, Part 3: Media Access Control (MAC) Bridges," 1998.	:
	64	R. Woodburn, "A Scheme for an Internet Encapsulation Protocol: Version 1," Request for Comments 1241, July 1991 ("RFC1241").	
***************************************	65	B. Elliston, "Encapsulating IP with the Small Computer System Interface," Request for Comments 2143, May 1997 ("RFC2143").	:
	66	S. Kent, et al, "IP Encapsulating Security Payload (ESP)," Request for Comments 2406, November 1998 ("RFC2406").	
	67	D. Farinacci, et al., "Generic Routing Encapsulation (GRE)," Request for Comments 2784, March 2000, ("RFC2784").	:
	68	S. Hanks, et al., "Generic Routing Encapsulation over IPv4 Networks," Request for Comments: 1702, October 1994 ("RFC1702").	·
	69	S. Hanks, et al., "Generic Routing Encapsulation (GRE)," Request for Comments 1701, October 1994 ("RFC1701").	
-	70	C Perkins, "Minimal Encapsulation within IP," Request for Comments 2004, October 1996 ("RFC2004").	
	71	C Perkins, "IP Encapsulation within IP," Request for Comments 2003, October 1996 ("RFC2003").	
	72	W. Simpson, "IP in IP Tunneling," Request for Comments 1853, October 1995 ("RFC1853").	
	73	P. Srisuresh, "Security Model with Tunnel-mode IPsec for NAT Domains, October 1999 ("RFC2709").	:

Examiner	-	Date	
Signature		Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number. 'Applicant is to place a check mark here if English language Translation is attached.

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Sheet** 5 **of** 5

Co	omplete if Known	
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Filing Date	July 10, 2003	
First Named Inventor	Michael Yip	
Art Unit	2663	
Examiner Name	Soon D. Hyun	
Attorney Docket Number	2717P029C	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1				
	74	D. Provan, "Tunneling IPX Traffic Through IP Networks," Request for Comments 1234, June 1991, ("RFC1234").			
	75	V. Rawat, et al., "Layer Two Tunneling Protocol (L2TP) over Frame Relay," Request for Comments 3070, February 2001, ("RFC3070").			
	76	W. Townsley, et al., "Layer Two Tunneling Protocol 'L2TP'," Request for Comments 2661, August 1999, ("RFC2661").			
	77	E. Rosen, et al., "Multiprotocol Label Switching Architecture," Request for Comments 3031, January 2001, ("RFC3031").			
	78	K. Hamzeh, et al., "Point-to-Point Tunneling Protocol (PPTP)," Request for Comments 2637, July 1999, ("RFC2637").			
	79	D. McPherson, et al., "VLAN Aggregation for Efficient IP Address Allocation," Request for Comments 3069, February 2001, ("RFC3069").			
	80	B. Gleeson, et al., "A Framework for IP Based Virtual Private Networks," Request for Comments 2764, February 2000, ("RFC2764").			
		·			

Examiner	Date
Signature	Considered

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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